

# Yield Strength of $\alpha$ -Silicon Nitride at High Pressure and High Temperature

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Through the analysis of peak broadening of energy-dispersive diffraction lines from a powdered sample, the yield strength of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> was investigated at a pressure of 9 GPa and temperatures up to 1234°C. During compression at room temperature, the lattice strain deduced from peak broadening increased linearly with pressure up to 9.2 GPa, with no clear indication of strain saturation. While heating at 9 GPa, diffraction peaks narrowed and significant stress relaxation was observed at temperatures above 400°C, indicating the onset of yielding. The yield strength of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> decreases rapidly with increasing temperature: from 8.7 GPa at 400°C to 4.0 GPa at 1234°C. The low temperature for the onset of yielding and decrease of yield strength upon further heating bring up concern regarding the performance of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> as an engineering material. Finally, the grain size variation is also outlined together with the dependence of differential strain on pressure and on temperature. This provides crucial information for clarifying the “fine structure” of the evolution of the differential strain.

## I. Introduction

BECAUSE of its covalent bonding, silicon nitride (Si<sub>3</sub>N<sub>4</sub>) exhibits high hardness, high wear resistance, low electrical conductivity, extraordinary chemical inertness, and high thermal stability.<sup>1–3</sup> Today, Si<sub>3</sub>N<sub>4</sub> is one of the key engineering ceramics widely used in machining and in the semiconductor and aerospace industries. In addition to the development of fabrication techniques such as hot pressing,<sup>4,5</sup> gas pressure sintering,<sup>6</sup> high pressure/high temperature sintering,<sup>7</sup> and chemical vapor deposition methods,<sup>8</sup> sustained efforts have been devoted to discovering new phases of Si<sub>3</sub>N<sub>4</sub> experimentally<sup>9–12</sup> and to elucidating the fundamental electronic structure and bonding theoretically.<sup>13,14</sup> Four polymorphs of Si<sub>3</sub>N<sub>4</sub> have been reported so far, including two hexagonal phases ( $\alpha$ - and  $\beta$ -Si<sub>3</sub>N<sub>4</sub>), a cubic spinel structure ( $\gamma$ -Si<sub>3</sub>N<sub>4</sub>), and another still-ambiguous phase ( $\delta$ -Si<sub>3</sub>N<sub>4</sub>).<sup>10</sup> Extensive work has been performed in studying the mechanical properties,<sup>15–18</sup> phase transition,<sup>19–21</sup> and equation of state<sup>22</sup> of Si<sub>3</sub>N<sub>4</sub>. However, one of the fundamental parameters

of Si<sub>3</sub>N<sub>4</sub>—the yield strength at high pressure/high temperature conditions—is still lacking. This information is critical for evaluating the performance of Si<sub>3</sub>N<sub>4</sub> in a real working (load and high-temperature) environment. In this work, we investigate the yield strength of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> at pressures up to 9.2 GPa and temperatures up to 1234°C through the analysis of the shape of X-ray diffraction lines from a powdered sample.

## II. Experimental Procedure

$\alpha$ -Si<sub>3</sub>N<sub>4</sub> powder (purity >90%, grain size 50–100 nm) was purchased from Alfa Aesar (Ward Hill, MA). Two separated layers of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> and NaCl powder were loaded inside a hexagonal BN capsule, which was placed in an amorphous carbon furnace. NaCl worked as the internal pressure standard and pressure was calculated from Decker's equation of state for NaCl.<sup>23</sup> The temperature was measured by a W<sub>25</sub>Re<sub>75</sub>–W<sub>3</sub>Re<sub>97</sub> thermocouple that was positioned at the center of the furnace and was in direct contact with the  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> sample and NaCl layers; no correction was made for the pressure effect on the thermocouple emf. *In situ* energy-dispersive synchrotron X-ray diffraction experiments were performed at the bending magnet beamline (13-BM-D) at the GSECARS facility of the Advanced Photon Source using the 250-ton multi-anvil press with the DIA-type cubic anvil apparatus.<sup>24</sup> The incident X-ray beam was collimated to a rectangular cross-section with dimensions 100  $\mu$ m  $\times$  200  $\mu$ m, and the diffracted X-ray signal was collected by a Ge solid-state detector at a fixed angle of  $2\theta = 5.857^\circ$ . The sample was compressed to 9.2 GPa at room temperature and then heated to 1234°C under constant load. The X-ray diffraction patterns were collected at  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> and NaCl locations very close to the thermocouple junction at different pressure–temperature conditions. The obtained energy-dispersive diffraction data were analyzed with the Plot85 software package.

The stress and strength of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> can be derived from the peak broadening in the X-ray diffraction patterns. The detailed method is outlined by Weidner *et al.*<sup>25</sup> based on two facts: microscopic deviatoric stress is the origin of X-ray line broadening in addition to grain size, and yielding occurs through the redistribution of the deviatoric stress over the entire sample at a certain high stress level. In the case of diffraction lines with Gaussian profiles, the combination of grain size and strain broadening can be described by:<sup>26</sup>

$$[\beta(E)]^2 = \left[ \frac{Khc}{2L\sin\theta} \right]^2 + (\varepsilon E)^2$$

where  $\beta(E)$  is the peak broadening at the photon energy  $E$ ,  $K$  the Scherrer constant,  $h$  Planck's constant,  $c$  the velocity of light,  $L$

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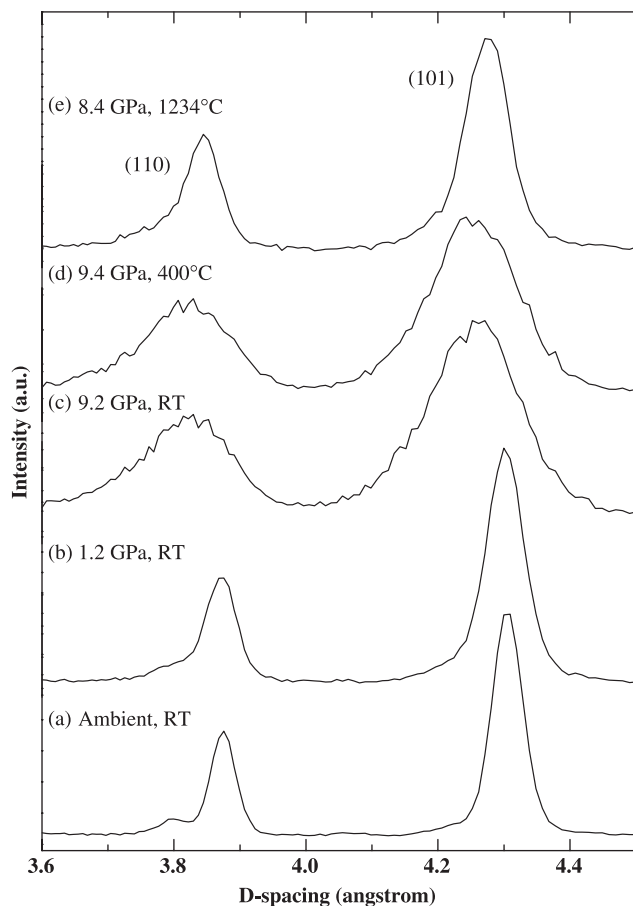
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the average grain size, and  $\epsilon$  the differential strain. Therefore, differential strain and average grain size can be derived from the slope and ordinate intercept of the plot of  $[\beta(E)]^2$  against  $E^2$ , respectively.

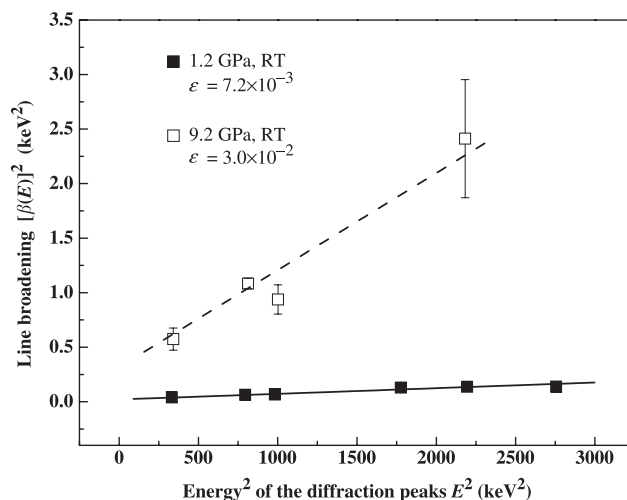
### III. Results and Discussion

The (110) and (101) diffraction peaks of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> are displayed in Fig. 1 at four different points along the experimental pressure–temperature path. During the compression from ambient up to 9.2 GPa at room temperature, the  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> peaks broaden asymmetrically, with a much more severe broadening on the small d-spacing side of the peak—see the bottom three curves in Fig. 1. This indicates that the applied pressure is supported only by the bridged parts of the  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> grains. Meanwhile, at this stage, the generated stress is not large enough to cause any yielding. Similar behavior was observed for diamond and moissanite during compression up to 10 and 11.8 GPa, respectively.<sup>25,27</sup> During heating at constant load, both (110) and (101) peaks of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> remained almost unchanged up to 400°C. The peaks narrow and become more symmetric at temperatures above 400°C, which is a clear evidence of yielding accompanied by stress redistribution over the entire sample—see the top two curves in Fig. 1.

Figure 2 shows the plot of  $[\beta(E)]^2$  as a function of  $E^2$  for two selected pressure–temperature conditions. Because of the co-existence of the NaCl pressure standard, h-BN capsule, and  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> in the sample chamber, diffraction peaks from these different phases tend to overlap, and this complicates the extraction of peak width, especially with broadened peaks at high-pressure conditions. For better statistics, five  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> diffraction lines are selected for peak broadening analysis, and the error bar represents the standard deviation. There are relatively large



**Fig. 1.** (110) and (101) diffraction lines of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> at selected pressure and temperature conditions: (a) ambient, room temperature, (b) 1.2 GPa, room temperature, (c) 9.2 GPa, room temperature, (d) 9.4 GPa, 400°C, and (e) 8.4 GPa, 1234°C.

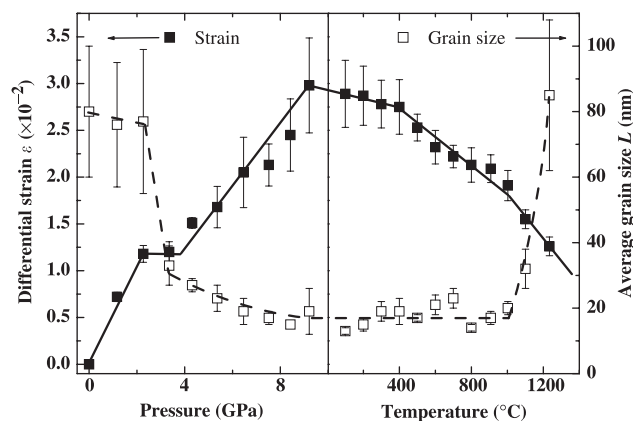


**Fig. 2.** Energy-dependent line broadening of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> at two different pressures at room temperature: full square fitted with solid line—1.2 GPa and blank square fitted with dash line—9.2 GPa.

uncertainties about the line broadening of some of the  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> peaks, but it is still obvious that a linear fit is suitable for the plot of  $[\beta(E)]^2$  against  $E^2$  even for the worst-case scenario, shown as the top blank square plot fitted with a dashed line in Fig. 2. Better precision of line broadening and more accurate deduction of grains size and differential strain can be obtained with angle-dispersive diffraction and monochromatic synchrotron beam, which requires a much more complicated instrumentation for the multi-anvil press.

The grain size, especially when it goes down to nanometer range, contributes significantly to the diffraction line broadening.<sup>28</sup> Given the intrinsic brittleness of the  $\alpha$ -Si<sub>3</sub>N<sub>4</sub>,<sup>2,5,17</sup> we believe it was worthwhile to explore possible grain size reduction during compression. Additionally, we thought that more details about the dependence of the differential strain as a function of pressure and/or temperature could be revealed by introducing the grain size in the same plot.

Figure 3 shows the differential strain and average grain size at various pressure and temperature conditions as full- and blank-square plots with solid and dashed guide lines, respectively. The nearly linear dependence of the strain on pressure up to 9.2 GPa at room temperature indicates that the compression process is elastic. The plateau around the pressure of 3 GPa is clearly associated with a dramatic grain size reduction approximately from 80 to 30 nm. It is intuitive to envision that grain fracture



**Fig. 3.** Differential strain (full square) and average grain size (blank square) of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> at various pressure and temperature conditions: left plot—compression at room temperature; right plot—heating at constant load corresponding to a pressure of 9 GPa. The differential strain and average grain size are derived from the slope and Y-ordinate intercept of the linear fitting, respectively, shown in Fig. 2. The lines are meant to guide the eye only.

rearranges local grain-to-grain contact and eases the strain growth temporarily at this particular region during loading. Afterward, there is an average grain size reduction from 30 to 20 nm upon further compressing from 3.4 to 9.2 GPa, but it seems that this small grain size reduction has no effect on the dependence of differential strain on pressure.

As temperature is increased to 400°C at constant load, there is a slight negative slope in the differential strain, which is probably caused by thermally induced strain relaxation because there is a small increase in the internal cell pressure in this region. Above 400°C,  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> starts yielding and the strain drops rapidly with further heating to 1234°C. It is essential to point out here that  $\alpha \rightarrow \beta$ -Si<sub>3</sub>N<sub>4</sub> transformation is an important issue at high pressure/high temperature conditions,<sup>19–21</sup> and there is no apparent  $\alpha \rightarrow \beta$ -Si<sub>3</sub>N<sub>4</sub> transformation in our experiment up to 8.4 GPa and 1234°C. The grain size of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> remains around 20 nm upon heating all the way to 1000°C, above which there is a fast grain growth. The final grain size at 1234°C is about 80 nm, which is very close to the initial grain size. Rapid grain growth (by about one order of magnitude) has been reported around 1000°C during the crystallization of amorphous Si<sub>3</sub>N<sub>4</sub>.<sup>7</sup> Simultaneously, at 1000°C, the strain starts decreasing more rapidly. This coincidence can be explained by  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> grain growth (which should be facilitated by the presence of small grains at that stage of the experiment). Indeed, grain growth reduces grain-to-grain contact sites and accelerates the differential strain diminution. For  $\alpha$ -Si<sub>3</sub>N<sub>4</sub>, the preceding results clearly demonstrate that the grain size information is critical in understanding the detailed evolution of differential strain at high pressure/high temperature. It is necessary to mention that the average grain size here is the X-ray scattering domain size (crystallite size), which may not represent the crystal size of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub>. Further experimental investigations in other ceramics are needed to confirm the importance of simultaneously determining stress and grain size in differential strain studies.

Given that the differential stress that can be sustained by the grains represents the yield strength after the yielding of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub>

at 400°C, the yield strength of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> can be determined by multiplying the differential strain by its aggregate Young's modulus, 315 GPa, which is calculated from the bulk modulus of 229 GPa based on the equation of state study by Kruger *et al.*<sup>22</sup> and a Poisson's ratio of 0.27.

The yield strength of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> as a function of temperature at 9 GPa is shown in Fig. 4. Data for moissanite and diamond are also plotted for comparison. The yield strength of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> decreases from 8.7 GPa at 400°C to 4.0 GPa at 1234°C. Compared with the yield strength of moissanite (12.8 at 400°C),<sup>27</sup>  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> is much weaker at relatively low temperatures (below 800°C). The comparison with diamond (with yield strength above 16 GPa at the onset temperature of 1000°C) is even less favorable.<sup>25</sup> A comparison of the hardness and Young's modulus of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> and moissanite makes the observed differences between the materials at least plausible. The Vickers hardness of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> is only half that of moissanite, and the Young's modulus is approximately 70% of that of moissanite. On the other hand, the yield strength of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> is about the same as that of moissanite at temperatures above 1000°C, which can be attributed to a strong resistance to thermally induced weakening. It should be pointed out that the pressures during heating at constant load are quite different, 18 GPa in the study of moissanite and 9 GPa in this work.

#### IV. Conclusions

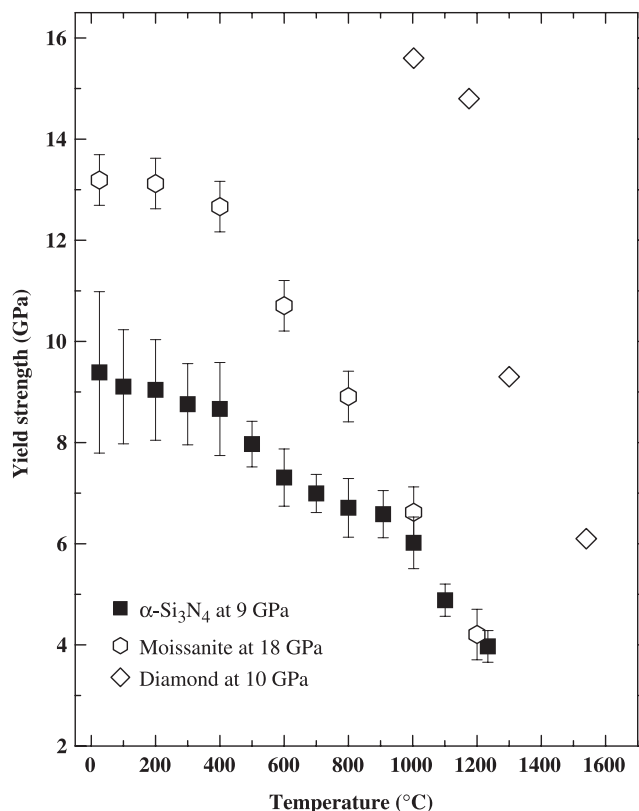
We demonstrated the dependence of strain on pressure and temperature through the analysis of peak broadening of the energy-dispersive diffraction data for  $\alpha$ -Si<sub>3</sub>N<sub>4</sub>. The "fine structure" of the evolution of strain can be explicated with the complementary information delivered by the grain size variation. The yield strength of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> is low initially (less than 9 GPa at 400°C). Although it becomes comparable with moissanite at temperatures above 1000°C, the low onset temperature of yielding (400°C) and the deterioration of strength upon further increase of temperature will set a limitation on the performance of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> as an engineering material. Nevertheless, a better understanding of the correlation between yield strength and grain size could be extremely helpful in the near future in designing functional ceramics through a deliberate configuration of grains with various sizes.

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**Fig. 4.** Yield strength of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> (square) as a function of temperature at a pressure of 9 GPa. The strength data for moissanite (hexagon) and diamond (diamond) are also plotted for comparison.

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